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11-29-02

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q65358

Satoshi HOSHINO

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Appln. No.: 09/899,075

Group Art Unit: 2661

NOV 25 2002

Confirmation No.: 3548

Examiner: Unknown

Technology Center 2600

Filed: July 6, 2001

For: AUTHENTICITY CHECKER FOR DRIVER'S LICENSE, AUTOMATED-TELLER
MACHINE PROVIDED WITH THE CHECKER AND PROGRAM RECORDING
MEDIUM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Publication No. 11-66273. (This reference was previously submitted to the USPTO with an Information Disclosure Statement dated July 6, 2001.)
2. Japanese Unexamined Patent Publication No. 3-78098, published April 3, 1991.
3. Japanese Unexamined Patent Publication No. 64-67697, published March 14, 1989.
4. Japanese Unexamined Patent Publication No. 3-127263, published May 30, 1991.
5. Japanese Unexamined Patent Publication No. 62-130465, published June 12, 1987.
6. Japanese Unexamined Patent Publication No. 5-314344, published November 26, 1993.

S. HOSHINO
Appln. No. 09/899,075
Information Disclosure Statement

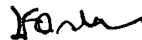
One copy of each of the listed documents (except reference no. 1 above) is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Japanese Office Action dated October 8, 2002 with an English translation of the pertinent portions thereof which cite such documents and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



J. Frank Osha
Registration No. 24,625

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Date: November 22, 2002

Ref Q65358

- Claims 1, 3, 5 and 9
- Cited Literatures 1 and 2
- Remarks

Cited Literature 1 describes a driver's license authenticity check device which examines a transparency pattern of a driver's license by means of a camera and illumination, as well as an automatic transaction device and an authenticity determination program storage medium. Cited Literature 2 describes a technical means for document examination which comprises a conveyance mechanism, a camera and light source arranged at the top side and bottom side of the document respectively and a optical system which propagates light outputted from said light source which has passed through the document up to said camera, whereby the document in question is judged to be authentic if at least one of the transparency patterns seen from the top or bottom side can be recognized as being correct.

- Claims 2 and 10
- Cited Literatures 1 through 3
- Remarks

Cited Literature 3 describes a technical means for document examination which re-images a document from the other side when no correct pattern could be recognized upon examination from one side.

- Claim 6
- Cited Literatures 1, 2 and 4
- Remarks

Cited Literature 4 describes the technical means, in an automatic transaction device, whereby a photograph affixed to a card is compared to image data filmed with a face imaging camera.

- Claim 7
- Cited Literatures 1, 2 and 5
- Remarks

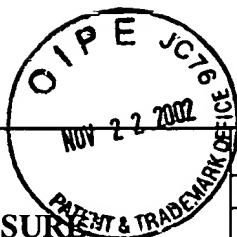
Cited Literature 5 describes the technical means, in a transaction device, whereby a photograph affixed to a card is compared to image data filmed with a face imaging camera. Performing said comparison automatically is something which could be suitably accomplished by a person skilled in the art in employing said technical means.

- Claim 8
- Cited Literatures 1, 2 and 6
- Remarks

Cited Literature 6 describes the technical means, in an automatic transaction device, whereby prerecorded face image data is compared to image data filmed with a face image camera.

List of Cited Literatures

1. Japanese Unexamined Patent Application Publication H11-66273
2. Japanese Unexamined Patent Application Publication H3-78098
3. Japanese Unexamined Patent Application Publication S64-67697
4. Japanese Unexamined Patent Application Publication H3-127263
5. Japanese Unexamined Patent Application Publication S62-130465
6. Japanese Unexamined Patent Application Publication H5-314344



Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/899,075
Confirmation Number	3548
Filing Date	July 06, 2001
First Named Inventor	Satoshi HOSHINO
Art Unit	2661
Examiner Name	Unknown
Attorney Docket Number	Q65358

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	03-78098 J	A	04-03-1991		No
		JP	64-67697 J	A	03-14-1989		No
		JP	03-127263 J	A	05-30-1991		No
		JP	62-130465 J	A	06-12-1987		No
		JP	05-314344	A	11-26-1993		No

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.